

COLOMBIAN STUD BOOK RULES AND REQUIREMENTS

CHAPTER 1

Concept, address and Purpose

Article 1°. Concept. The official Stud Book is a magnetic media or copy file, where records of purebred animals are kept, recorded or officially enrolled in an orderly and sequential fashion.

Article 2°. The Ministry of Agriculture and Rural Development by Decree No. 1545 of 1953 and then through Law 427 of January 16, 1998, appointed Asociación Colombiana de Criadores de Caballos PSI (ASOCRIADORES PSI), a legal entity, non-profit organization, with operating under No. 534 of November 30, 1945, currently overseen by the Ministry of Agriculture and Rural Development, to have the responsibility to keep the Stud Book of Thoroughbred horses, hereinafter referred to as the Colombian Stud Book.

Paragraph. The Colombian Stud Book shall include all thoroughbred horses registered by the Asociación Colombiana de Criadores de Caballos Pura Sangre Inglesa (ASOCRIADORES P.S.I.).

Article 3° . To develop the leadership and management functions of the Stud Book Colombian, ASOCRIADORES PSI elects the Colombian Stud Book Commission, which will operate in the city of Bogotá DC, capital of the Republic of Colombia, ASOCRIADORES PSI legal domicile

Article 4º . The PSI Colombian Stud Book Commission will seek to maintain the horses Stud Book PSI., using for this purpose written or electromagnetic means necessary for its proper functioning; ensuring the purity of the breed, overseeing exerting control on procreation, pregnancy, birth, identification, affiliation, registration of property, tenure and its limitations, transfer, import and export and statistics of the Thoroughbred horses registered in the Stud Book Colombia by Asociación Colombiana de Criadores de Caballos P.S.I. Also issue certificates and other documents required to fulfill its purposes.

Paragraph 1 . In addition to the requirements of the Colombian law, it is its function to have the Colombian Stud Book recognized by the International Stud Book Committee and continue to adopt the international regulations issued by such authority, which is the requirement to remain recognized by others Stud Books recognized by that agency operating in the rest of the world.

Paragraph 2 - The Colombian Stud Book shall maintain a Register of crossbred race horses, stating their origin, their genealogy and ownership thereof, provided that the requirements are met for such effects. The specimen crossbred horse is defined as that which has only one parent duly registered as a Thoroughbred in the Colombian Stud Book.

CHAPTER II

Management and Administration

Article 5°- The Colombian Stud Book Commission shall consist of the President of ASOCRIADORES PSI, four (4) core members and three (3) alternate members which will be elected by the Board of ASOCRIADORES PSI, during the same session in which the President of such entity is elected.

Article 6 - The Colombian Stud Book Committee will be elected in odd years, for periods of two (2) years, and the first meeting of each period a President with his deputy and a secretary shall be appointed. The latter position may be held by a person other than a member of the Commission.

Article 7 - The Colombian Stud Book Commission will meet in regular sessions once every three months, and extraordinarily when the President or his Deputy convene such meeting . Quorum will be formed with three members present. Alternates shall act only in the absence of the core member. The decisions of the Colombian Commission Stud Book shall be by simple majority.

Paragraph 1- . Citations to meetings shall be in writing and the Secretary of the Commission shall be responsible for the Minutes of each meeting that, once approved, shall be countersigned by the President.

Article 8°- The Colombian Stud Book Committee deliberates independently and the resolutions adopted in connection with its functions, the interpretation of these regulations, their application and the penalties that arise from the breach thereof, shall be final and may be appealed only to the Board of ASOCRIADORES PSI

Article 9°- The Colombian Stud Book Commission shall take all appropriate measures and resolutions to ensure the organization and proper functioning of the Colombian Stud Book and to ensure strict compliance with its Regulations.

Article 10° -. ASOCRIADORES PSI, through the Colombian Stud Book Commission, shall represent Colombian Stud Book at the local and international levels, and may make any filing, prosecution or administrative management aimed at the defense of its interests.

CHAPTER III

Stud Book - Supplemental Record Books

Article 11° . In the Colombian Stud Book or Genealogical Book all Thoroughbreds born in Colombia or imported will be enrolled in an official, orderly and sequential fashion, in accordance with the requirements of this Regulation, specifying their genealogy, identity and affiliation, ownership, possession, limitations and, in general, any element linked to its perfect legal protection.

Paragraph 1- . All horses entered in the Colombian Stud Book bear a registration number which will be formed by a prefix, which will be the two (2) latest figures from the year of registration, followed by a sequential numbering upwards of four (4) digits corresponding to order of entry. In case of cancellation or rejection of a registration number assigned, it cannot be used again and the record the cancellation or rejection shall describe the reason.

Article 12°-. The Colombian Stud Book shall include the following additional ledgers:

- a) Register of Stallions
- b) Register of Broodmares
- c) Stallion Services Register
- d) Logbook of reports of births and reproductive status by broodmare
- e) Register of filiations and parentage verification
- f) Register of imported horses
- g) Register of exported horses
- h) Register of ownership, tenure and its limitations
- i) Register of crossbred horses
- j) Register of name changes
- k) Register of disqualified horses

Paragraph 1- For records of filiations and parentage verification for each horse will have the same numbering described in Paragraph of Article 11 of these Regulations.

Paragraph 2°- The Colombian Stud Book Commission, in accordance with the ASOCRIADORES PSI Board, may determine procedures and means to have complementary registers or can keep additional records as deemed necessary.

Article 13°- The Colombian Stud Book Commission shall authorize the individuals responsible for affiliations and to take samples for parentage verification.

Article 14°- Veterinarians, animal scientists and individuals who wish to be accredited for such work of affiliating and/or taking samples for parentage verification, shall register and be authorized by the Colombian Stud Book.

Article 15°- The Stud Book Commission shall continue to publish Colombian Stud Book volumes. This book contains the information of all Thoroughbreds recorded, namely stallions and their descendants, broodmares, all the events recorded during the period, as are their foals, abortions, deaths, services, etc., the import of horses with their country of origin, exports of horses with their country of destination and all listings are deemed necessary for adequate information.

Paragraph 1- The volumes of the Colombian Stud Book may be developed in the electromagnetic form and distribution can be done using (CD or USB) using the software compatible with computers.

CHAPTER IV

Obligations of Thoroughbred Breeders and Owners

Article 16°- All breeders and thoroughbred owners, will be required to report to the Colombian Stud Book when a horse, male or female, enters stud duty. Such report will trigger the verification of affiliation and parentage registration. This report shall be sent by the breeder, within the first year and it is required to register its descendants. Similarly, for stallions, the responsible party must report the place where the stallion shall stand and any subsequent changes in its location.

Article 17°- Breeders, owners, and syndicate representatives of stallions are required to report the following information:

- a) For the Register of stallion services: It will be where all services will be recorded in sequential order, the dates of all services performed by a stallion and should be sent to the Colombian Stud Book before 30 September of each year, for the services performed during the first semester, or before March 31 of the following year, for the services of the second half.
- b) For the Logbook of births statement: It will be where the dates of all births will be recorded in sequential order. The reports shall also contain, the sex of the offspring, the color, the father, the mother and maternal grandfather properly identified, and should be sent no later than within ninety (90) days of its occurrence.

Article 18º. The Colombian Stud Book register for stallion service statement will produce a Report of Birth and Reproductive Status of the mare, to be sent to the owner to be completed and returned within ninety (90) days following the date of event to be declared. The Report of birth and reproductive status of the mare contain relevant information to record all possible events that occurred in the annual period, such as: ownership or possession of the mare and its limitations, date and place of birth, abortion or any other incident, sex and breeding color and requested names, if the breeder wants to reserve it. In the cases where there was no birth it should state the reason why it did not occur.

Paragraph 1 -. For all mares that have not been served in the previous year, the Colombian Stud Book issues a report (the Foal / No Foal report), this report is forwarded to the last registered owner, who shall complete and return it within ninety (90) days. stating if the mare has been sold, has died, has been removed from stud duties, or had an unregistered service.

Article 19º. The Colombian Stud book, based on the reports of birth and reproductive status of the broodmare, will charge according to its current rates, the formalities of affiliation, genetic typing and registration of foals and send it to the owner. In order to continue with these formalities, the applicant shall pay such amount and inform to ASOCRIADORES PSI the place where the mare and foal can be visited.

Paragraph 1 -. Until the formalities of affiliation, genetic typing and registration of an animal are paid, in accordance with this Regulation and the current rates, the animal will not be registered in the Colombian Stud Book.

Article 20º. The Report of birth and reproductive status of the broodmare may be transmitted to the Colombian Stud Book, using the written report, electromagnetic media or by email.

CHAPTER V

Registration - Genetic Typing and Parentage Verification of Foals

Article 21°. For all purposes of this Regulation registration shall mean, the process which, determining paternity, the gestational period and proper identification of a foal, shall result in there proper identification of the product and shall allow the issuance of an official certification.

Article 22°. A foal will only be registered in the Stud Book when all its ancestors, part of its pedigree or genealogy, are duly registered in the Colombian Stud Book or in another Stud Book recognized by the International Stud Book Committee and in compliance with all the requirements contained in this regulation.

Article 23°. The paternity of a product will only be accepted when the stallion and mare have had direct sexual contact. Products obtained by artificial insemination or embryo transfer will not be registered.

Article 24°. The processes of filiations and parentage verification will be mandatory for the registration of Thoroughbred in the Colombian Stud Book.

Paragraph 1°. Meaning that all parentage will be verified through a blood typing or DNA testing, as the latter system is officially adopted. No products whose paternity is denied or doubtful, after carrying out the respective reviews will be registered.

Paragraph 2°. All Thoroughbreds born in Colombian territory must have parentage verification and its parents too. In the case of imported broodmares in foal, the mare must be registered in the Colombian Stud Book and the sire must be properly registered in a recognized Stud Book.

Article 25°. When broodmare has been served successively in the same season by two different stallions, every effort will be made to verify parentage of the resulting product, eliminating the stallion that does not fit.

Article 26°. The Colombian Stud Book Commission has designed the information necessary for affiliation, according to international descriptive codes. The person authorized to make the affiliation shall adhere only to the terms and nomenclature issued to this effect.

Article 27°. Normal pregnancy is considered to occur between three hundred and ten (310) and three hundred sixty (360) days. When the duration of pregnancy is out of these limits, the Colombian Stud Book shall send a delegate to the place where the product is, to establish the

conditions of birth and to take the respective blood samples. According to the results of the visit it can accept or deny the application for registration of the product.

Article 28°- When the product does not have a report of service of a stallion or mare, or it has been reported after the deadline stipulated in Article 17°, and before the birth occurs, the Colombian Stud Book Commission may request all information considered appropriate to establish the paternity and may include the testimony, at least two individuals who have attended the service.

Article 29°- When there is no report of birth, or it is extemporaneous, if it has been received after the deadline stipulated in Article 17°, the Colombian Stud Book Commission may request any additional information it deems appropriate to establish the identity the product and may include the testimony of at least two individuals who have attended delivery.

Article 30°- For all purposes of registration the breeder will be the person appearing on the records of the Stud Book as the owner of the mare at the time of the birth of the product.

Paragraph 1- Temporary owner of a mare is a person that, by a written contract, has a lease for breeding such mare, or has a shared foal clause. In the latter case, both parties will be registered as breeders.

CHAPTER VI

Names and Coats of Thoroughbreds

Article 31°- All thoroughbreds to be registered in the Stud Book shall bear a name that identifies them throughout their lives.

Article 32°- The names chosen for the Thoroughbreds must be requested to the Stud Book, in writing.

1. The breeders have to request the name of a foal before it participates in its first race. This request will have no charge. The Colombian Stud Book Commission will approve and authorize such name when the following requirements are met :

- a) When requesting the reservation of a name, before birth occurs, it must specify to which product it is intended. This reservation will have no cost and will be valid for a period of six (6) months;
- b) When it is requested in the report of birth or before six (6) months of age, giving up to three possible names for each product. This application will not have cost;
- c) When the requested name is not included in any of the paragraphs of Article 33.

2. The breeders may leave a foal nameless to be named later by a new owner. This application will cost the rate established for this purpose.

The Colombian Stud Book Commission shall approve the name and authorize its use when the following requirements are met:

- a) When the foal owner seeking approval of the name before it participates in a public race.
- b) If the requested name is not included in any of the paragraphs of Article 33.

Paragraph 1- The Transfer of the nameless foal will only be processed at the same time with the foal name.

Article 33°- The Stud Book Commission will not accept the use of the following names:

- a) The Group 1 winners, who are listed in Part I of the International Cataloguing Standards, or Colombian Group I, II and III winners, regardless of the time when the race occurred;
- b) The stallions that have produced more than 15 foals Group I winners, or mares that have produced more than two Group I winners and any stakes winner included in the international list of protected names;
- c) The stallions that are registered in the Colombian Stud Book in the Sire Book and have had foals in Colombia;
- d) Names consisting of 19 or more digits, considered as such letters, punctuation marks and spaces, or consisting of more than four (4) words;
- e) Names that have spelling or phonetic differences with others already registered;

- f) Names that are likely to be considered as publicity
- g) Names of exported horses, before 10 years of its exportation;
- h) Names that are considered obscene, offensive or vulgar, or have implicit such suggestion;
- i) Those representing cardinal numbers ;
- j) Those composed of numbers or acronyms;
- k) Those followed by numbers or acronyms;
- l) Those that may affect religious or political beliefs ;
- m) Names that correspond to farms, stables or racetracks operating in Colombia, when there is no written authorization issued by the owners;
- n) Those included in the list of protected names by the International Stud Book Committee and ratified by the International Racing Conference ;
- o) Names of famous or notable people, without written authorization from the person;
- p) The horses which have been entered in the Register of Disqualified Horses.

Article 34º -. Names may be accepted that have been previously used when:

- a) In the case of males that are not inscribed in the Register of Sires, or not been used for breeding or were castrated, after ten (10) years from the date of its registration;
- b) In the case of females without foals, after fifteen (15) years from the date of registration.
- c) In the case of horses that never ran and were not used for breeding, after ten (10) years from the date of death, or if not aware of this, fifteen (15) years from the date of registration.

Paragraph 1- In cases of doubt, the Stud Book Committee may suggest the owner to modify it, if in its judgment it has merit, and reject it when it deems it unsuitable.

Article 35° -. The Stud Book Commission may approve once, a name change, when there has not ran, or entered stud, upon payment of such service.

Paragraph 1- When a registered horse, is exported and then renamed due to the regulations of the country of destination, it must be reported to the Colombian Stud Book.

Paragraph 2- Whenever the name change is accepted in the passport and pedigree its previous name will be attested.

Article 36°-. No name change will be permitted to the horses imported into the country. It will be mandatory to use, in parentheses, the abbreviation of the country of birth after imported horse's name to distinguish it.

Article 37°-. The coats of registered horses must be very accurately described in the report of birth or parentage respectively, describing it according to the following designations:

a. Bay: The entire coat of the horse may vary from a yellow-tan to a bright auburn. The mane, tail and lower portion of the legs are always black, unless white markings are present.

b. Black: The entire coat of the horse is black, including the muzzle, the flanks, the mane, tail and legs, unless white markings are present.

c. Chestnut: The entire coat of the horse may vary from a red-yellow to a golden-yellow. The mane, tail and legs are usually variations of the coat color, unless white markings are present.

d. Dark Bay/Brown: The entire coat of the horse will vary from a brown, with areas of tan on the shoulders, head and flanks, to a dark brown, with tan areas seen only in the flanks and/or muzzle. The mane, tail and lower portion of the legs are always black, unless white markings are present.

e. Gray/Roan: The Jockey Club has combined these colors into one color category. This does not change the individual definitions of the colors for gray and roan and in no way impacts the two-coat color inheritance principle as stated in Rule 1(E).

f. Gray: The majority of the coat of the horse is a mixture of black and white hairs. The mane, tail and legs may be either black or gray, unless white markings are present.

g. Roan: The majority of the coat of the horse is a mixture of red and white hairs or brown and white hairs. The mane, tail and legs may be black, chestnut or roan, unless white markings are present.

Article 38° -. Chestnuts, grays or roans foals must meet the following:

- a) Chestnut Sire and Chestnut Dam, must produce a Chestnut;
- b) Gray roans foals must have of least one gray/roan parent.

Paragraph 1° -. When a product descends from a roan or gray horse, it shall require a written report of the final color coat within six (6) months of the date of birth.

Article 39° -. The Stud Book Commission does not register horses whose coat description disagrees with these established standards.

CHAPTER VII

Passport, Ownership and its Limitations

Article 40° -. Enrollment in the Register of Property certifies the horse's property, unless proved otherwise. To this end, a horse cannot be registered in the Register of Property, without first ascertaining the right of he who intends to appear as the owner.

Paragraph 1° -. For all purposes, without prejudice to the rights of others, he who appear as such in the Register of Property, will own the horses that are registered in the Colombian Stud Book..

Article 41° -. The Colombian Stud Book issues a passport for all horses born in the country, or imported horses that do not have it, document to be accompanied to all proceedings concerned.

Article 42° -. The passport shall contain the following information:

- a) Registration Number,
- b) Name, sex and color of the horse owner,
- c) Date and place of birth,
- d) Sire, Dam and Grandsire,
- e) Affiliation,
- f) Registration of ownership, tenure and its limitations,
- g) DNA typing,
- h) Campaign at racetracks,
- i) Photographs, when these are required,
- j) Vaccinations.

Paragraph 1- When a product is registered with no name, the Colombian Stud Book will not issue the passport until it receives a request for name, executed in writing by the breeder or new owner that is applying for the corresponding transfer.

Article 43°-. For all proceedings at the Stud Book The passport has to accompany the respective application. Once it is processed, it will be returned to its owner.

Article 44°-. No copies or duplicates of passports will be issued, except in case of loss or destruction duly verified, the applicant may request it and, upon payment of established fees, the Stud Book Commission may authorize the expedition of a new one.

Article 45°-. When a horse is sold, the owner will fill in the passport, the corresponding spaces in the name, identification and address of the purchaser and send it to the Stud Book, where the buyer, upon payment of the established fees, will have the passport returned to his registered address.

Article 46° -. Owners may authorize a third party to sign the transfer of sale, but shall inform in writing the Stud Book, of such authorization.

Paragraph -. Transfers will not be recorded when signed by persons not duly authorized by the last owner listed on the registration of a horse.

Article 47° -. When a stallion is syndicated, which means that the property has been divided into a number of rights or shares, the respective document must be sent to the Stud Book so it can register ownership in the respective book. The syndicate must appoint a spokesperson who will have representation at the Stud Book for everything related with this Regulation.

Article 48° -. The owner of a horse leased to run on a racetrack, or for breeding purposes. A copy of these contracts must be submitted, duly signed and authenticated, to the Stud Book, which will be recorded in the corresponding register.

Article 49° -. Shared foal contracts, in which the owner of a broodmare transfers it temporarily to receive a portion of the resulting foal, must be registered with the Stud Book, so that both parties can be registered as breeders.

Paragraph -. In the case of leasing for breeding, the lessee is recognized as temporary owner for the purposes of **Article 30 °**, of this Regulation.

Article 50° -. Any limitation in the property or possession of a Thoroughbred shall be communicated in writing within thirty (30) days of its occurrence, to be recorded in the passport and the corresponding register. These limitations may be only overridden upon a resolution of the Commission of the Colombian Stud Book to such effect, in obedience to orders reversing the original cause for such limitation.

Article 51° -. Upon the death of a horse, the owner must return to the Stud Book the passport recording the date and cause of it, within a maximum period of one year.

CHAPTER VIII

Import and Export of Thoroughbred

Article 52° -. All imported Thoroughbreds must be registered in the Colombian Stud Book. For these purposes the importer shall submit an application for registration within sixty (60) days of its arrival. The importer is responsible for complying with the provisions of this Regulation.

Article 53° -. Application for registration shall be accompanied by a pedigree, made by a person authorized by the Stud Book, and samples for typing, when the horse comes from a country where it has not been done. In accordance with international standards, the Stud Book of the exporting country must send directly to the Colombian Stud Book the passport or Export Certificate, as the case may be, where proof of identity, ownership, affiliation and blood typing or DNA testing and campaign, if he has run, for proper registration in the Register of Imported Horses.

Article 54° -. The importer or the owner listed on the Export Certificate will be registered as the first owner of the horse in the Stud Book, and must pay registration fees in advance for this purpose.

Article 55° -. No records will be made of horses from countries whose Stud Books are not recognized by the International Stud Book Committee.

Article 56° -. Until the coincidence of filiation and parentage verification of an imported horse has been verified with a passport or Certificate of Export it will not proceed to register. Importers will have a maximum of one hundred twenty (120) days to settle any differences that are present in the registration process. After that, registration will not be possible.

Article 57° -. All exported Thoroughbreds must be accompanied by a passport or a Certificate of Export, which will be issued by the Colombian Stud Book. Before the departure of a horse, confirmation of its identity and affiliation shall occur, and four photographs of the product (front, left side, right side and rear) must be submitted. In accordance with international standards, the Colombian Stud Book will send to the Stud Book of the destination country, the passport with the photos, or an Export Certificate, as the case may be, as proof of identity, ownership, affiliation and parentage verification, campaign, if it has run, for proper registration there.

Paragraph 1-. The Colombian Stud Book may issue the exporter a copy of the Export Certificate if such is required by competent authorities.

Article 58° -. When a horse is re-exported to the country of origin, the Colombian Stud Book will send the Original Export Certificate received from the horse's country of origin, keeping on file a certified copy of this document, along with proof of delivery.

CHAPTER IX

Rates, Penalties and Fines

Article 59° -. The Stud Book Committee will periodically recommend the concepts for which it will charge a fee, and submit its proposal to the Board of ASOCRIADORES PSI, before the end of the respective year.

Article 60°-. Breeders, owners or interested parties, in making an inquiry from the Colombian Stud Book must be prepay the set fees. ASOCRIADORES PSI, may determine the system for collection of these rates for their members.

Article 61°-. The Stud Book Commission will set the time during which proceedings may be made to the Stud Book. These terms will be incorporated into this Regulation, if different from those described herein.

Paragraph 1-. Once After the deadline, the Colombian Stud Book cannot accept service or birth declarations, , reproductive status of a mare reports, registrations, name changes, requests for issuance of certificates, or other proceeding described in this Regulation.

Article 62°. For all purposes, all interested parties represent they know of the existence of this Regulation and except its content. Therefore, any proven violation of its rules will be punished by the Stud Book Commission.

Article 63° -. When the Stud Book Commission is aware of violations to this Regulation it will, depending on its severity, apply appropriate sanctions. The decisions taken will be reported to the Board of Directors of ASOCRIADORES PSI

Paragraph 1° -. When the violation of this Regulation involves an attempt to register a horse with altered or false documents, or bad faith is found, a proceeding of disqualification of the horse and its elimination of the respective books will occur, and sanctions will be extended to those responsible.

Paragraph 2° -. When the registration of a horse is cancelled, it will not be able to re-apply. Additionally the stud farm, breeder or owner who has propiated such act will be expelled.

CHAPTER X

General Provisions

Article 64° -. The Stud Book Commission may amend this Regulation, when appropriate, or when the issuance of a law or national or international standards so warrant. Any amendment to this Regulation shall be ratified by the Board of ASOCRIADORES PSI

Article 65° -. Cases not provided for in this Regulation will be studied and solved by the Colombian Stud Book Commission, who will make decisions in such case thereof, by a majority vote and monitor its application.